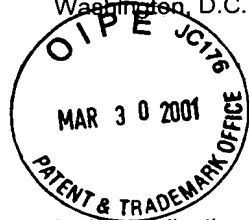


Paper no. 5

Atty Dkt No. APF 37.20

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LIST OF PATENTS AND PUBLICATIONS
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(Use several sheets if necessary)
Sheet 1 of 4



In the Application of Scott UMLAUF

Serial No.: 09/705,022

Art Unit: ~~unassigned~~ 1635

Filed: 1 November 2000

Examiner: unassigned

Title: NUCLEIC ACID VACCINE COMPOSITIONS HAVING A MAMMALIAN CD80/CD86 GENE PROMOTER DRIVING ANTIGEN EXPRESSION

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
DW	AA-1	4,945,050	7/31/90	Sanford et al.			
	AB-1	5,100,792	3/31/92	Sanford et al.			
	AC-1	5,120,657	6/9/92	McCabe et al.			
	AD-1	5,149,655	9/22/92	McCabe et al.			
	AE-1	5,187,077	2/16/93	Gearing et al.			
	AF-1	5,204,253	4/20/93	Sanford et al.			
	AG-1	5,584,807	12/17/96	McCabe			
	AH-1	5,589,466	12/31/96	Felgner et al.			
	AI-1	5,630,796	5/20/97	Bellhouse et al.			
	AJ-1	5,738,852	4/14/98	Robinson et al.			
	AK-1	5,865,796	2/2/99	McCabe			
	AL-1	6,168,587	1/2/01	Bellhouse et al.			
DW	AM-1	6,194,389	2/27/01	Johnston et al.			

Examiner:

B. J. H. H.

Date Considered:

4/10/02

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Sheet 2 of 4

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Serial No.: 09/705,022

Art Unit: ~~unassigned~~ 1635

Filed: 1 November 2000

Examiner: unassigned

Title: NUCLEIC ACID VACCINE COMPOSITIONS HAVING A MAMMALIAN CD80/CD86 GENE PROMOTER DRIVING ANTIGEN
EXPRESSION

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Translation	
							YES	NO
BW	AN-1	WO 94/24263	10/27/94	PCT-WIPO				
	AO-1	WO 96/04947	2/22/96	PCT				
	AP-1	WO 96/12513	5/2/96	PCT				
	AQ-1	WO 96/14855	5/23/96	PCT				
	AR-1	WO 96/20022	7/4/96	PCT				
	AS-1	WO 97/32987	9/12/97	PCT				
	AT-1	WO 97/48485	12/24/97	PCT				
BW	AU-1	WO 98/10750	3/19/98	PCT-WIPO				

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Sheet 3 of 4

In the Application of Scott UMLAUF

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Title: NUCLEIC ACID VACCINE COMPOSITIONS HAVING A MAMMALIAN CD80/CD86 GENE PROMOTER DRIVING ANTIGEN EXPRESSION

OTHER DOCUMENTS (including Author, Date, Journal, Volume, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
BW	AV-1	Morgan et al. (1976) <i>Science</i> <u>193</u> :1007-1008
	AW-1	Schuler et al. (1985) <i>J. Exp. Med.</i> <u>161</u> :526 - 546
	AX-1	Brankenhoff et al. (1987) <i>Immunol.</i> <u>139</u> :4116-4121
	AY-1	Romani et al. (1989) <i>J. Invest. Dermatol.</i> <u>93</u> :600- 609
	AZ-1	Schwartz R.H. (1990) <i>Science</i> <u>248</u> :1349-1356
	AA-2	Van Seventer, G.A. (1990) <i>J. Immunol.</i> <u>144</u> :4579-4586
BW	AB-2	Jenkins M.K. (1992) <i>Immunol. Today</i> <u>13</u> :69-73
	AC-2	Schwartz R.H. (1992) <i>Cell</i> <u>71</u> :1065-1068
	AD-2	Liu Y. et al. (1992) <i>J. Exp. Med.</i> <u>175</u> :437-445
	AE-2	Nabavi et al. (1992) <i>Nature</i> <u>360</u> :266-268
	AF-2	Spriggs et al., (1992) <i>J. Exp. Med.</i> <u>176</u> :1543-1550
	AG-2	Spriggs (1992) <i>Immunol Ser.</i> <u>56</u> :3-34
	AH-2	Armitage et al. (1992) <i>Nature</i> <u>357</u> :80-82
BW	AI-2	Naujokas M.F., et al. (1993) <i>Cell</i> <u>74</u> :257-268

Examiner: **Bris d'Alto**Date Considered: **9/10/02**

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Serial No.: 09/705,022

Art Unit: ~~unassigned~~ 1635

Filed: 1 November 2000

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Title: NUCLEIC ACID VACCINE COMPOSITIONS HAVING A MAMMALIAN CD80/CD86 GENE PROMOTER DRIVING ANTIGEN
EXPRESSION

Exam. Init.	Ref. Desig.	Description
BZ	AJ-2	Freeman et al. (1993) <i>Science</i> 262:909-911
	AK-2	Selvakumar et al. (1993) <i>Immunogenetics</i> 38:292-295
	AL-2	Lyman et al. (1995) <i>Oncogene</i> 11(6):1165-72
	AM-2	Ellis et al. (1996) <i>J. Immunol.</i> 56:2700-2709
	AN-2	Zhang et al. (1996) <i>Gene</i> 183:1-6
	AO-2	Fong et al. (1996) <i>J. Immunol.</i> 157:4442-4450
	AP-2	Wong et al. (1997) <i>J. Exp. Med.</i> , 186:2075-2080
	AQ-2	Wong et al. (1997) <i>J Biol Chem</i> 272(40):25190-4
	AR-2	Gurunathan et al. (1998) <i>J. Immunol.</i> , 161:4563-4571
	AS-2	Pulendran et al. (1998) <i>J. Exp. Med.</i> , 188:2075-2082
	AT-2	Wong et al. (1999) <i>J. Immunol</i> 162:2251-2258
BZ	AU-2	Josien et al. (1999) <i>J. Immun.</i> 162:2562-2568

Examiner:

Date Considered: 9/10/02

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